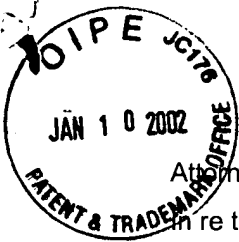


1734



RECEIVED Patent
JAN 15 2002
TC 1700

Attorney's Docket No.: 4728.P043

In re the Application of: Yu-Yang Chang et al.

(inventor(s))

Application No.: 09/779,295

Filed: February 7, 2001

For: METHOD OF IMPROVING FIELD EMISSION EFFICIENCY FOR FABRICATING CARBON NANOTUBE FIELD EMITTER

(title)

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

SIR: Transmitted herewith is an Amendment for the above application.

 Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.

 A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.

 X No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)
	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra
Total Claims	* 7	Minus	** 20	0
Indep. Claims	* 1	Minus	*** 3	0
<input type="checkbox"/>	First Presentation of Multiple Dependent Claim(s)			

* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

SMALL ENTITY	
Rate	Additional Fee
X9	\$
X39	\$
+130	\$
Total Add. Fee	\$

OTHER THAN A SMALL ENTITY	
Rate	Additional Fee
X18	\$
X78	\$
+260	\$
Total Add. Fee	\$ 0.00

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on December 14, 2001

Date of Deposit

Sharon E. Farnus

Name of Person Mailing Correspondence

Sharon Farnus
Signature

12/14/01
Date

_____ A check in the amount of \$ _____ is attached for presentation of additional claim(s).
_____ Applicant(s) hereby Petition(s) for an Extension of Time of _____ month(s) pursuant to
_____ 37 C.F.R. § 1.136(a).

_____ A check for \$ _____ is attached for processing fees under 37 C.F.R. § 1.17.

_____ Please charge my Deposit Account No. 02-2666 the amount of \$ _____.

A duplicate copy of this sheet is enclosed.

X The Commissioner of Patents and Trademarks is hereby authorized to charge payment of the
following fees associated with this communication or credit any overpayment to Deposit Account
No. 02-2666 (a duplicate copy of this sheet is enclosed):

X Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of
extra claims.

X Any extension or petition fees under 37 C.F.R. § 1.17.

Date: _____

12400 Wilshire Boulevard
Seventh Floor
Los Angeles, California 90025
(206) 292-8600

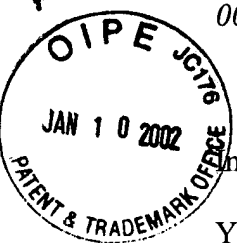
BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Chun M. Ng

Reg. No. 36,878

004728.P043

#3/A
101-25-02
Patent
(N.E.)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Y. Chang et al.

Serial No. 09/779,295

Filed: February 7, 2001

For: METHOD OF IMPROVING FIELD
EMISSION EFFICIENCY FOR
FABRICATING CARBON NANOTUBE
FIELD EMITTERS

)
)
) Examiner: M. Mayes

)
) Art Unit: 1734

RECEIVED
JAN 15 2002
TC 1700

Box Non-fee Amendment

Assistant Commissioner for Patents
Washington, DC 20231

AMENDMENT A AND RESPONSE

In response to the first Office Action dated September 14, 2001, please amend the
above-identified application to read as follows:

In the Specification

Page 1

On line 15, please change "containing" to --contains--;

Page 2

On line 8, please add a space after "-";

Page 3

On line 3, please change "user" to --using--;

On line 9, please delete "a" before "rather";

On line 15 please change "hat" to --that--;